



#10/c  
RUB  
1-21-04

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on January 7, 2004

Tanya Parker

(Typed or Printed Name of Person Mailing Paper or Fee)

Tanya Parker

(Signature of Person Mailing Paper or Fee)

Application Number : 09/577,223  
Applicant : John C. Tang  
Filed : May 23, 2000  
TC/A.U. : 2126  
Examiner : Zhen, Li B

Confirmation Number: 4212

Docket Number : SUN-P4953-RSH  
Customer No. : 22,835

RECEIVED

JAN 14 2004

Technology Center 2100

Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

### AMENDMENT

Sir

In response to the office action of **December 17, 2003**, please amend the above-identified application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 10 of this paper.



2126

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on January 7, 2004

Tanya Parker

(Typed or Printed Name of Person Mailing Paper or Fee)

Tanya Parker  
(Signature of Person Mailing Paper or Fee)

**PATENT APPLICATION**  
**Attorney Docket No. SUN-P4953-RSH**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE PATENT APPLICATION OF )  
John C. Tang )  
Serial No. 09/577,223 )  
Filing Date: May 23, 2000 )  
For: METHOD AND APPARATUS FOR PROVIDING )  
MULTI-LEVEL ACCESS CONTROL IN A )  
SHARED COMPUTER WINDOW )

Examiner: Zhen, Li B.

Group Art Unit: 2126

**RECEIVED**

JAN 14 2004

Technology Center 2100

**AMENDMENT TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
M/S: Box Non-Fee Amendment  
P.O. Box 1450  
Arlington, VA 22313-1450

Sir:

In connection with the above-referenced U. S. patent application, transmitted herewith are the following papers:

- ☒ Response under 37 C.F.R. § 1.111 to official action mailed December 17, 2003.
- ☐ A petition for extension of time is also enclosed with a fee of \$0.00 for a one-month extension for a small entity.
- ☐ Terminal disclaimer under 37 C.F. R. § 1.321(c), including
  - ☐ check for \$110.00 fee under 37 C.F.R. § 1.20(d), and
  - ☐ 2 certificates under 37 C.F.R. § 3.73(b).
- ☐ Information disclosure statement, form 1449 and \_\_\_ references.
- ☒ No additional claims fees are required.

[ ] An additional fee is required, and is calculated as shown below:


| AMENDED CLAIMS   |               |   |              |          |               |
|--|---------------|---|--------------|----------|---------------|
|  | NO. OF CLAIMS | HIGHEST NO. OF CLAIMS PREVIOUSLY PAID FOR | EXTRA CLAIMS | RATE     | ADDT'L FEE    |
| Total Claims   |               | MINUS = 20                                | 0            | x \$18 = |               |
| Independent Claims   |               | MINUS = 3                                 | 0            | x \$78 = |               |
| If Amendment adds multiple dependent claims, add \$260.00              |               |   |              |          |               |
| Total Amendment Fee  |               |   |              |          |               |
| If small entity status is claimed, subtract 50% of Total Amendment Fee |               |   |              |          |               |
| <b>TOTAL ADDITIONAL FEE DUE FOR THIS AMENDMENT</b>                     |               |   |              |          | <b>\$0.00</b> |

- [ ] A check in the amount of \$\_\_\_\_ is enclosed.  
[ ] Charge \$\_\_\_\_ to Deposit Account No. \_\_\_\_ (Docket No. \_\_\_\_).  
[x] Please deduct any underpayments, credit any overpayments, and charge all required extension of time fees to Deposit Account Number 50-1003. (Docket No. SUN-P4953-RSH).

Edward J. Grundler  
Park, Vaughan & Fleming LLP  
508 Second Street, Suite 201  
Davis, CA 95616  
Tel: (530) 759-1663  
FAX: (530) 759-1665

Respectfully submitted,

By



Edward J. Grundler  
Registration No. 47,615

Date: January 7, 2004